

**ANNEXURE I**

**Format to be submitted by listed entity on quarterly basis**

1. Name of Listed Entity - **Equitas Holdings Limited**  
 2. Quarter ending - **31-March-2020**

**I. Composition of Board of Directors**

Title (Mr./Ms)	Name of the Director	DIN & PAN	Category (Chairperson /Executive/ Non-Executive/ Independent/ Nominee)	Date of Birth	Whether Special Resolution Passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of Appointment	Date of Appointment in the current term	Date of cessation	Tenure	No of Directorship in listed entities including this listed entity	No of Independent Directorship in listed entities including this listed entity	No of memberships in Audit/ Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity
Mr.	Rangachary N	00054437 AAAPN0001 C	ID, C & NED	10-06-1938	Yes	28-03-2019	07-05-2015	29-06-2015	---	59	4	4	4	0
Mr.	Arun Ramanathan	00308848 ABMPR191 9A	ID	25-04-1949	NA	-	07-05-2015	29-06-2015	---	59	3	3	3	2
Ms.	Jayshree Ashwinkumar Vyas	00584392 ACAPV6659 C	ID	03-07-1953	NA	-	12-11-2014	12-11-2019	---	65	2	2	2	0
Mr.	Rajaraman P V	01658641 AABPR4778 D	ID	02-02-1944	Yes	28-03-2019	07-05-2015	29-06-2015	---	53	1	1	2	0

Mr.	Viswanatha Prasad S	00574928 AQGPS1018 Q	ID	02-04-1966	NA	-	26-10-2017	27-07-2018	---	29	2	1	1	1
Mr.	Bhaskar S	00010000 AACPB0870 D	NED	06-10-1957	NA	-	21-10-2016	21-10-2019	---		1	0	2	0
Mr.	Jayaraman Chandrasekaran	01118392 AADPC0179 R	ID	22-09-1951	NA	-	02-08-2019	02-08-2019	---	8	1	1	1	0
Mr.	John Alex	08584415 AAIPA2675F	ED & CEO	13-09-1957	NA	-	08-11-2019	08-11-2019	---	2	1	0	1	0

## II. Composition of Committees

Audit Committee					
Sr. No.	Name of the Director	Category	Chairperson/ Membership	Date of Appointment	Date of Cessation
1	Viswanatha Prasad S	ID	Chairperson	26-10-2017	-
2	Rajaraman P V	ID	Member	22-07-2016	-
3	Jayaraman Chandrasekaran	ID	Member	02-08-2019	-
4	Bhaskar S	NED	Member	08-11-2019	-

Nomination, Remuneration & Governance Committee					
Sr. No.	Name of the Director	Category	Chairperson/Membership	Date of Appointment	Date of Cessation
1	Rajaraman P V	ID	Chairperson	22-07-2016	-
2	Arun Ramanathan	ID	Member	29-06-2015	-
3	Jayshree Ashwinkumar Vyas	ID	Member	05-05-2017	-
4	Viswanatha Prasad S	ID	Member	26-10-2017	-

<b>Stakeholders Relationship Committee</b>					
<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Date of Appointment</i>	<i>Date of Cessation</i>
1	Arun Ramanathan	ID	Chairperson	06-05-2016	-
2	Rajaraman P V	ID	Member	06-05-2016	-
3	Bhaskar S	NED	Member	05-05-2017	-
4	John Alex	ED	Member	08-11-2019	-

<b>Risk Management Committee</b>					
<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Date of Appointment</i>	<i>Date of Cessation</i>
1	Viswanatha Prasad S	ID	Chairperson	02-11-2018	-
2	Rajaraman P V	ID	Member	02-11-2018	-
3	Bhaskar S	NED	Member	02-11-2018	-
4	Jayaraman Chandrasekaran	ID	Member	02-08-2019	

<b>Corporate Social Responsibility Committee</b>					
<i>Sr. No.</i>	<i>Name of the Director</i>	<i>Category</i>	<i>Chairperson/Membership</i>	<i>Date of Appointment</i>	<i>Date of Cessation</i>
1	Arun Ramanathan	ID	Chairperson	06-05-2016	-
2	Rajaraman P V	ID	Member	06-05-2016	-
3	Jayshree Ashwinkumar Vyas	ID	Member	05-05-2017	-
4	John Alex	ED	Member	08-11-2019	-

<b>Date(s) of Meeting (if any) in the previous and current quarter in chronological order</b>	<b>Maximum gap between any two consecutive (in number of days)</b>	<b>Whether requirement of Quorum met (Yes/no)</b>	<b>Number of Directors present</b>	<b>No. of Independent Directors attending the Meeting</b>
08-November-2019		Yes	8	6
30-January-2020	82	Yes	8	6

<b>Name of the committee</b>	<b>Date(s) of meeting of the committee in the previous and current quarter in chronological order</b>	<b>Maximum gap between any two consecutive (in number of days)</b>	<b>Whether requirement of Quorum met (Yes/no)</b>	<b>Number of Directors present</b>	<b>No. of Independent Directors attending the Meeting</b>
Audit Committee	08-November-2019		Yes	4	4
Audit Committee	30-January-2020	82	Yes	4	3
Nomination, Remuneration and Governance Committee	08-November-2019		Yes	4	4
Nomination, Remuneration and Governance Committee	30-January-2020	82	Yes	4	4
Stakeholders Relationship Committee	08-November-2019		Yes	3	2
Stakeholders Relationship Committee	30-January-2020	82	Yes	4	4
Risk Management Committee	08-November-2019		Yes	4	4

<b>V. Related Party Transactions</b>	
<b>Subject</b>	<b>Compliance status (Yes/No/NA)</b>
Whether prior approval of audit committee obtained	Yes
Whether shareholder approval obtained for material RPT	Yes
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes

## **VI. Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
  - a. Audit Committee - **Yes**
  - b. Nomination & remuneration committee - **Yes**

- c. Stakeholders relationship committee - **Yes**
- d. Risk management committee (applicable to the top 500 listed entities) - **Yes**
- 3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
- 4. The meetings of the Board of Directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
- 5. a. ~~This report and/or~~ the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
- b. Any comments/observations/advice of Board of Directors may be mentioned here: -NIL

**Name** : **Deepti R**  
**Designation** : **Company Secretary**

## ANNEXURE II

**Format to be submitted by listed entity at the end of the financial year (for the whole of financial year)**

### II Annual Affirmations

Particulars	Regulation Number	Compliance status
Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b) & 25(6)	Yes
Board composition	17(1), 17(1A) & 17(1B)	Yes
Meeting of Board of directors	17(2)	Yes
Quorum of Board meeting	17(2A)	Yes
Review of Compliance Reports	17(3)	Yes
Plans for orderly succession for appointments	17(4)	Yes
Code of Conduct	17(5)	Yes
Fees/compensation	17(6)	Yes
Minimum Information	17(7)	Yes
Compliance Certificate	17(8)	Yes
Risk Assessment & Management	17(9)	Yes

Performance Evaluation of Independent Directors	17(10)	Yes
Recommendation of Board	17(11)	Yes
Maximum number of Directorships	17A	Yes
Composition of Audit Committee	18(1)	Yes
Meeting of Audit Committee	18(2)	Yes
Composition of nomination & remuneration committee	19(1) & (2)	Yes
Quorum of Nomination and Remuneration Committee meeting	19(2A)	Yes
Meeting of Nomination and Remuneration Committee	19(3A)	Yes
Composition of Stakeholder Relationship Committee	20(1), 20(2) & 20(2A)	Yes
Meeting of Stakeholders Relationship Committee	20(3A)	Yes
Composition and role of risk management committee	21(1),(2),(3),(4)	Yes
Meeting of Risk Management Committee	21(3A)	Yes
Vigil Mechanism	22	Yes
Policy for related party Transaction	23(1),(1A),(5),(6),(7) & (8)	Yes
Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	Yes
Approval for material related party transactions	23(4)	NA
Disclosure of related party transactions on consolidated basis	23(9)	Yes
Composition of Board of Directors of unlisted material Subsidiary	24(1)	Yes
Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4),(5) & (6)	Yes
Annual Secretarial Compliance Report	24(A)	Yes
Alternate Director to Independent Director	25(1)	Yes
Maximum Tenure	25(2)	Yes
Meeting of independent directors	25(3) & (4)	Yes
Familiarization of independent directors	25(7)	Yes
Declaration from Independent Director	25(8) & (9)	Yes

D & O Insurance for Independent Directors	25(10)	Yes
Memberships in Committees	26(1)	Yes
Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes
Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes
Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes

### III Affirmations:

The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied. - **Yes**

**Name** : **Deepti R**  
**Designation** : **Company Secretary**